



State of California—Health and Human Services Agency
Department of Health Care Services



SANDRA SHEWRY
Director

ARNOLD SCHWARZENEGGER
Governor

Dear Applicant:

Thank you for your recent inquiry regarding participation in the Medi-Cal program. Please complete the enclosed Medi-Cal provider enrollment application package and return it to the Department of Health Care Services, Provider Enrollment Division, MS 4704, P.O. Box 997413, Sacramento, California, 95899-7413.

Please read all the instructions included in the application package carefully and complete each item requested. Incomplete application packages will be returned.

PLEASE NOTE: Applicants and providers are required to submit their National Provider Identifier (NPI) with each Medi-Cal provider application package. Applicants are required to attach a copy of the CMS/National Plan and Provider Enumeration System (NPES) confirmation for each NPI listed in the application package. If providers are not eligible to receive an NPI, they should instead enter the word "atypical" in any NPI fields. These "atypical providers" will receive a unique Medi-Cal provider number once the application is approved.

It is your responsibility to report to the DHCS any modifications to information previously submitted within 35 days from the date of the change. Most changes may be reported on a *Medi-Cal Supplemental Changes* (DHCS 6209, rev. 2/08) form. However, you must complete a new application package if you are reporting a change of ownership of 50 percent or more, a change of business address, or one of the other changes identified in Title 22, California Code of Regulations (CCR), Section 51000.30, subsections (a) through (b).

If you are planning to sell your business or buy an existing business, you may find it helpful to refer to the Medi-Cal Provider Enrollment page at www.medi-cal.ca.gov. The Provider Enrollment page contains information about enrollment options available to you whenever there is a sale or purchase of a Medi-Cal enrolled provider or business, including the option to submit a *Successor Liability with Joint and Several Liability Agreement*.

Enrollment forms are available at www.medi-cal.ca.gov or by contacting the Telephone Service Center at 1-800-541-5555. For more information about the forms and the regulatory requirements for participation in the Medi-Cal program, please visit our Web site at www.medi-cal.ca.gov and click the "Provider Enrollment" link.

If you have any additional enrollment questions, please contact the Provider Enrollment Message Center at (916) 323-1945, or submit your question(s) to the address above or via email at **PEDCorr@dhcs.ca.gov**. In order to submit claims electronically, providers must request a submitter number by completing the *Medi-Cal Telecommunications Provider and Biller Application/Agreement* (DHCS 6153, rev. 12/07), available on the Medi-Cal Web site at **www.medi-cal.ca.gov** by clicking the “Forms” link in the “Featured” area, then “Billing.”

Provider Enrollment Division

Enclosures

(Revised 2/08)

INSTRUCTIONS FOR COMPLETION OF THE MEDI-CAL PROVIDER APPLICATION

DO NOT USE staples on this form or on any attachments.

DO NOT USE correction tape, white out, or highlighter pen or ink of a similar type on this form. If you must make corrections, please line through, date, and initial in ink.

DO NOT LEAVE any questions, boxes, lines, etc. blank. Enter N/A if not applicable to you.

This form is part of an application for enrollment or continued enrollment as a provider in the Medi-Cal program. Applicants and providers must also provide additional information and documentation. Applicants and providers may be subject to an on-site inspection and to unannounced visits prior to enrollment or approval for continued enrollment in a program. In addition to this form and requested documentation, a MEDI-CAL DISCLOSURE STATEMENT (DHCS 6207) and a MEDI-CAL PROVIDER AGREEMENT (DHCS 6208) must also be completed for enrollment or continued enrollment. Additional information can be found on the Medi-Cal Web site (www.medi-cal.ca.gov) by clicking the “Provider Enrollment” link.

Omission of any information or documentation on this form or failure to sign any of these documents may result in any of the denial actions identified in Title 22, California Code of Regulations (CCR), Section 51000.50.

You must attach copies of Centers for Medicare and Medicaid Services/National Plan and Provider Enumeration System (CMS/NPPES) confirmation for each National Provider Identifier (NPI) submitted with your application package. You may not submit an NPI for use in Medi-Cal billing unless that NPI is appropriately registered with CMS and is in compliance with all NPI requirements established by CMS at the time of submission.

Enrollment action requested—check all that apply. Enter the date you are completing the application.

“New provider”—check if the applicant is not currently enrolled in the Medi-Cal program as a provider with an active provider number. Include the NPI (or Denti-Cal provider number if applicable) for the business address indicated in item 4.

“Change of business address”—check if the applicant is currently enrolled in the Medi-Cal program and is requesting to relocate to a new business address and vacate the old location. Indicate the business address applicant is moving from.

“Additional business address”—check if the applicant is currently enrolled in the Medi-Cal program and is requesting enrollment for an additional business location.

“New Taxpayer ID number”—check if a new Taxpayer Identification Number (TIN) was issued by the IRS.

“Change of ownership”—check if there is a change of ownership as defined in Title 22, CCR, Section 51000.6. Indicate the effective date in the space provided.

“Cumulative change of 50 percent or more in person(s) with ownership or control interest”—check if there is a cumulative change of 50 percent or more in the person(s) with an ownership or control interest, as defined in Title 22, CCR, Section 51000.15, since the information provided in the last complete application package that was approved for enrollment. Indicate the effective date in the space provided.

“Sales of assets (50 percent or more)” —check if 50 percent or more of the assets owned by the corporation, at the location for which a provider number has been issued, are sold or transferred. Indicate the effective date in the space provided.

“Continued Enrollment”—check if the applicant is currently enrolled as a Medi-Cal provider and has been requested by the Department to apply for continued enrollment in the Medi-Cal program. Do not check this box unless you have received notification from the Department, pursuant to Title 22, CCR, Section 51000.55. List active provider number(s) in the space provided.

Check the box labeled “I intend to use my current . . .” if you intend to use your current provider number to bill for services delivered at this location while this application request is pending. This action places the provider on provisional provider status, pursuant to Title 22, CCR, Section 51000.51.

“Type of entity”—check the box which applies to your business structure. Your corporate status will be verified using the corporate number and state in which incorporated. If a partnership, you must attach a legible copy of the partnership agreement. If you check “other,” list the type of legal entity.

1. “Legal name” is the name listed with the Internal Revenue Service (IRS).
2. “Business name” is the name of the applicant or provider if different from that listed in number 1. If this is a fictitious business name, provide the Fictitious Business Name Statement/Permit number and effective date. Attach a legible copy of the recorded/stamped Fictitious Business Name Statement/Permit to the application.

3. "Business telephone number" is the primary business telephone number used at the business address. A beeper number, cell phone, answering service, pager, facsimile machine, biller or billing service, or answering machine shall not be used as the primary business telephone.
4. "Business address" is the actual business location including the street name and number, room or suite number or letter, city, county, state, and nine-digit ZIP code. A post office or commercial box is not acceptable.
 - a. Check whether the business address is a licensed health facility as defined in Sections 1250, 1250.2 and 1250.3 of the Health and Safety Code. Check whether services will be rendered at only the business address indicated. If not, you must submit a separate application for each business address unless you qualify for an exception pursuant to Welfare and Institutions Code Section 14043.15(b)(2). See the 'Facility-Based Provider' bulletin on the "Provider Enrollment" page of the Medi-Cal Web site (www.medi-cal.ca.gov) for the requirements to qualify for that exception.
5. "Pay-to address" is the address at which the applicant or provider wishes to receive payment. The pay-to address should include, as applicable, the post office box number, street number and name, room or suite number or letter, city, state, and nine-digit ZIP code.
6. "Mailing address" is the location at which the applicant or provider wishes to receive general Medi-Cal correspondence. General Medi-Cal correspondence includes bulletin updates and Provider Manual updates.
7. "Previous business address" is the address where the applicant or provider was previously enrolled. If the applicant or provider is not submitting an application for a change of location, enter N/A.
8. Enter the license/certificate number, or other approval to provide health care, of the applicant or provider. Attach a legible copy of the license, certificate, or approval. Enter the effective date and the expiration date of the license/certificate number, or other approval.
9. Enter the provider type. See list in Title 22, CCR, Section 51051.
10. Enter any additional NPI for the business address indicated in item 4, registered with other carriers including, but not limited to Medicare. Attach CMS/NPPES confirmation for each. Providers not eligible to receive an NPI (atypical providers) should submit a Medicare billing number.
11. Enter each taxonomy code(s) associated with your NPI. Attach additional sheet(s) if needed.
12. Enter the Taxpayer Identification Number (TIN) issued by the IRS under the name of the applicant or provider. Attach a legible copy of the IRS Form 941, Form 8109-C, Letter 147-C, or Form SS-4 (Confirmation Notification).
13. If the business is a sole proprietorship not using a TIN, provide the social security number of the sole proprietor. (See Privacy Statement on page 5)
14. Nurse Practitioners only—enter the duration of the nurse practitioner training program and the school at which the nurse practitioner training program was completed.
15. Nurse Practitioners only—enter clinical and didactic training or equivalent experience completed. Attach a legible copy.
16. Enter the Clinical Laboratory Improvement Amendment (CLIA) certificate number. Attach a legible copy of the CLIA certificate. If this does not apply to you, enter "N/A".
17. Enter the State Laboratory License/Registration number. Attach a legible copy of the license/registration. If this does not apply to you, enter N/A.
18. Enter the driver's license or state-issued identification number and state of issuance of any individual named in number 1. Attach a legible copy to the application. The driver's license or state-issued identification number shall be issued within the 50 United States or the District of Columbia.
19. Proof of Liability Insurance—enter the name of the insurance company, insurance policy number, date policy issued, expiration date of policy, insurance agent's name, telephone number of the insurance agent, fax number of the insurance agent and e-mail address of the insurance agent. You must attach a copy of your certificate of insurance for the identified business address to the application.
20. Proof of Professional Liability Insurance—enter the name of the insurance company, insurance policy number, date policy issued, expiration date of policy, insurance agent's name, telephone number of the insurance agent, fax number of the insurance agent and e-mail address of the insurance agent. You must attach a copy of your certificate of insurance to the application.
21. Check the appropriate box to indicate whether you have Worker's Compensation insurance as required by state law. If applicable, attach proof. If not applicable, check N/A and provide an explanation.
22. Enter the date of birth of the individual named in number 1, if applicable. If not applicable, enter N/A.
23. Check the gender of the individual named in number 1, if applicable. If not applicable, enter N/A.

24. Enter any local business license or permit numbers for any city and/or county where you conduct your business and attach copies to the application. If this does not apply to you, enter N/A and provide an explanation.
 25. Enter the Seller's Permit number issued by the State Board of Equalization. Attach a legible copy of the Seller's Permit. If this does not apply to you, enter N/A.
 26. "Printed name of provider"—print the last, first, and middle name of the person who is signing the application. The application must be signed by a person who is authorized to legally bind the provider or applicant.
 27. Check the gender of the individual named in number 26.
 28. Enter the driver's license or state-issued identification number and state of issuance of the individual named in number 26. Attach a legible copy to the application.
 29. Enter the date of birth of the individual named in number 26.
 30. Enter the social security number of the individual named in number 26. Provision of the social security number is optional (See Privacy Statement on page 5).
 31. An original signature of the individual named in number 26 is required. Also provide the title of the person signing the application. Include the city, state, and the date where and when the application was signed. **See Title 22, CCR Section 51000.30(a)(2)(B) to determine whether you have the authority to sign this application.**
 32. Applicants and providers licensed pursuant to Division 2 (commencing with Section 500) of the Business and Professions Code, the Osteopathic Initiative Act, or the Chiropractic Initiative Act, ARE NOT REQUIRED to have this form notarized. If it must be notarized, the Certificate of Acknowledgement signed by the Notary Public must be in the form specified in Section 1189 of the Civil Code.
 33. To assist in the timely processing of the application package, enter the name, e-mail address, and telephone number of the individual who can be contacted by Provider Enrollment staff to answer questions regarding the application package. Failure to include this information may result in the application package being returned deficient for item(s) that an applicant can readily provide by fax or telephone.
- ✓ Remember to attach a legible copy of the following, if applicable:
- ☐ Driver's license or state-issued identification card
 - ☐ TIN verification
 - ☐ CLIA Certificate
 - ☐ License, certification, or other approval
 - ☐ Fictitious Business Name Statement/Permit
 - ☐ State Laboratory License/Registration
 - ☐ Signed Medi-Cal Provider Agreement (DHCS 6208)
 - ☐ Signed Medi-Cal Disclosure Statement (DHCS 6207)
 - ☐ Certificate of Liability Insurance
 - ☐ Certificate of Professional Liability Insurance
 - ☐ Proof of Worker's Compensation Insurance
 - ☐ Medicare enrollment verification (if applicable)
 - ☐ Successor Liability Agreement (if applicable)
 - ☐ National Provider Identifier verification (CMS/NPPES confirmation)
 - ☐ Clinical and didactic training or equivalent experience completed (Nurse Practitioners only)



MEDI-CAL PROVIDER APPLICATION

Important:

- Read *all* instructions before completing the application.
- Type or print clearly, in ink.
- If you must make corrections, please line through, date, and initial in ink.
- For Medi-Cal return completed forms to:

Department of Health Care Services
Provider Enrollment Division
MS 4704
P.O. Box 997413
Sacramento, CA 95899-7413
(916) 323-1945
- For Denti-Cal return completed forms to:

Medi-Cal Dental Program (Denti-Cal)
Provider Enrollment
P.O. Box 15609
Sacramento, CA 95852-0609
(800) 423-0507
- **Do not use staples on this form or on any attachments.**
- **Do not leave any questions, boxes, lines, etc. blank. Enter N/A if not applicable to you**

FOR STATE USE ONLY

Provider number (NPI or Denti-Cal number as applicable): _____

Date _____

Enrollment action requested (**check all that apply**)

- ☐ New provider
☐ Change of business address
☐ Additional business address
☐ New Taxpayer ID number
☐ Facility-Based Provider
☐ *Change of ownership (per Title 22, CCR, Section 51000.6)
☐ *Cumulative change of 50 percent or more in person(s) with ownership or control interest (per Title 22, CCR, Section 51000.15)
☐ *Sale of assets 50 percent or more, per Title 22, CCR, Section 51000.30)

- ☐ I intend to use my current provider number to bill for services delivered at this location while this application request is pending. I understand that I will be on provisional provider status during this time, pursuant to Title 22, CCR, Section 51000.51.

***A provider agreement may not be transferred or assigned to another. However, an applicant may be joined to the provider agreement by strict compliance with the provisions of Title 22, CCR, Section 51000.32 entitled "Requirements for Successor Liability with Joint & Several Liability."**

Indicate the change of ownership effective date: ____/____/____.

For items above marked with * indicate effective date: ____/____/____.

- ☐ Continued Enrollment (Do not check this box unless you have been requested by the Department to apply for continued enrollment in the Medi-Cal program pursuant to Title 22, CCR, Section 51000.55.)

Type of entity (check one)

- ☐ Sole proprietor
☐ Partnership (attach legible copy of agreement)
☐ Government entity
☐ Corporation:
☐ Limited liability company (LLC):
☐ Nonprofit Corporation
 Corporate number: _____ LLC number: _____ Type of nonprofit: _____
 State incorporated: _____ State registered/ filed: _____ ☐ Other: _____

1. Legal name of applicant or provider (as listed with the IRS)

2. Business name, if different

3. Business telephone number

()

Is this a fictitious business name?

☐ Yes ☐ No

If yes, list the Fictitious Business Name Statement/Permit number

Effective date

(Attach a legible copy of the recorded/stamped Fictitious Business Name Statement/Permit.)

4. Business address (number, street)

City

County

State

Nine-digit ZIP code

a. If you are applying as a **facility-based provider**, complete this section:

This address is a licensed hospital/health facility. ☐ Yes ☐ No

If yes, check the option that applies:

- ☐ All services are provided at this one facility location **OR**
☐ Services are provided at more than one licensed health facility
 (Attach a list of all business addresses where services are provided).

5. Pay-to address (number, street, P.O. Box number)

City

State

Nine-digit ZIP code

6. Mailing address (number, street, P.O. Box number)

City

State

Nine-digit ZIP code

For a change of business address, enter location moving from:

7. Previous business address (number, street)

City

State

Nine-digit ZIP code

8. License number (attach legible copy)

License effective date

License expiration date

9. Provider type

10. Medicare/Other NPI (see instructions)

11. Primary Taxonomy Code

Taxonomy Code

Taxonomy Code

12. Taxpayer Identification Number (TIN) issued by the IRS
(attach a legible copy of the IRS form)

13. Social security number. If sole proprietor not using a TIN, you must disclose this number.
(See Privacy Statement on page 5.)

14. (Nurse Practitioner only) Duration of training program and school		15. (Nurse Practitioner only) Clinical and didactic training or equivalent experience completed	
16. Clinical Laboratory Improvement Amendment (CLIA) Certificate number (attach a legible copy)	17. State Laboratory License/Registration number (attach a legible copy)	18. Driver's license or state-issued identification number and state of issuance (attach a legible copy)	

19. **Proof of Liability Insurance—Applicant must attach a copy of their certificate of insurance for the business address.**

Name of insurance company _____

Insurance policy number	Date policy issued (mm/dd/yyyy)	Expiration date of policy (mm/dd/yyyy)
Insurance agent's name—(first) _____ (middle) _____ (last) _____ (Jr., Sr., etc.) _____		
Telephone number ()	Fax number ()	E-mail address _____

20. **Proof of Professional Liability Insurance—Applicant must attach a copy of their certificate of (malpractice) insurance to this application.**

Name of insurance company _____

Insurance policy number	Date policy issued (mm/dd/yyyy)	Expiration date of policy (mm/dd/yyyy)
Insurance agent's name—(first) _____ (middle) _____ (last) _____ (Jr., Sr., etc.) _____		
Telephone number ()	Fax number ()	E-mail address _____

21. Does the applicant have Worker's Compensation insurance as required by state law? ☐ Yes ☐ No ☐ N/A
If applicable, attach proof of maintenance of Worker's Compensation insurance. If not applicable, check N/A and provide an explanation below: _____

22. Date of birth _____	23. Gender <input type="checkbox"/> Male <input type="checkbox"/> Female	24. Any local business license numbers, permits (attach a legible copy(ies)) If N/A, provide explanation. _____	25. Seller's Permit number (attach a legible copy) _____
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Information About Individual Signing This Application

26. Print name of applicant or provider or person signing the application on behalf of the applicant or provider. (last) _____ (first) _____ (middle) _____			27. Gender <input type="checkbox"/> Male <input type="checkbox"/> Female
28. Driver's license or state-issued identification number and state of issuance (attach a legible copy) _____	29. Date of birth _____	30. Social security number (Optional —see Privacy Statement below.) ____ _	

31. **I declare under penalty of perjury under the laws of the State of California that the foregoing information in this document, in the attachments, the disclosure statement, and provider agreement are true, accurate, and complete to the best of my knowledge and belief. I declare that I have the authority to legally bind the applicant or provider pursuant to Title 22, CCR Section 51000.30(a)(2)(B).**

Signature of provider or person on behalf of the applicant or provider _____	Title _____
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Executed at: _____ (City) _____ (State) on _____ (Date)

32. Notary Public — Please see instructions under number 32 for who must have their application signed by a Notary Public in the form specified by Section 1189 of the Civil Code.

33. Contact Person's Information

☐ Check here if you are the same person identified in item 26. If you checked the box, provide only the e-mail address and telephone number below.

Contact Person's Name (last) _____ (first) _____ (middle) _____ (gender) _____			
<input type="checkbox"/> Male <input type="checkbox"/> Female			
Title/Position _____	E-mail address _____	Telephone number () _____	

**Privacy Statement
(Civil Code Section 1798 et seq.)**

All information requested on the application, the disclosure statement, and the provider agreement is mandatory with the exception of the social security number for any person other than the person or entity for whom an IRS Form 1099 must be provided by the Department pursuant to 26 USC 6041. This information is required by the Department of Health Care Services, Provider Enrollment Division, by the authority of Welfare and Institutions Code Section 14043.2(a). The consequences of not supplying the mandatory information requested are denial of enrollment as a Medi-Cal provider or denial of continued enrollment as a provider and deactivation of all provider numbers used by the provider to obtain reimbursement from the Medi-Cal program. The consequence of not supplying the voluntary social security number information requested is delay in the application process while other documentation is used to verify the information supplied. Any information provided will be used to verify eligibility to participate as a provider in the Medi-Cal program. Any information may also be provided to the State Controller's Office, the California Department of Justice, the Department of Consumer Affairs, the Department of Corporations, or other state or local agencies as appropriate, fiscal intermediaries, managed care plans, the Federal Bureau of Investigation, the Internal Revenue Service, Medicare Fiscal Intermediaries, Centers for Medicare and Medicaid Services, Office of the Inspector General, Medicaid, and licensing programs in other states. For more information or access to records containing your personal information maintained by this agency, contact the Provider Enrollment Division at (916) 323-1945, or Denti-Cal at (800) 423-0507.